

# Single Source “Solar Thermal” Heat Pump for Residential Heat Supply: Performance with an Array of Unglazed PVT Collectors

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## Abstract

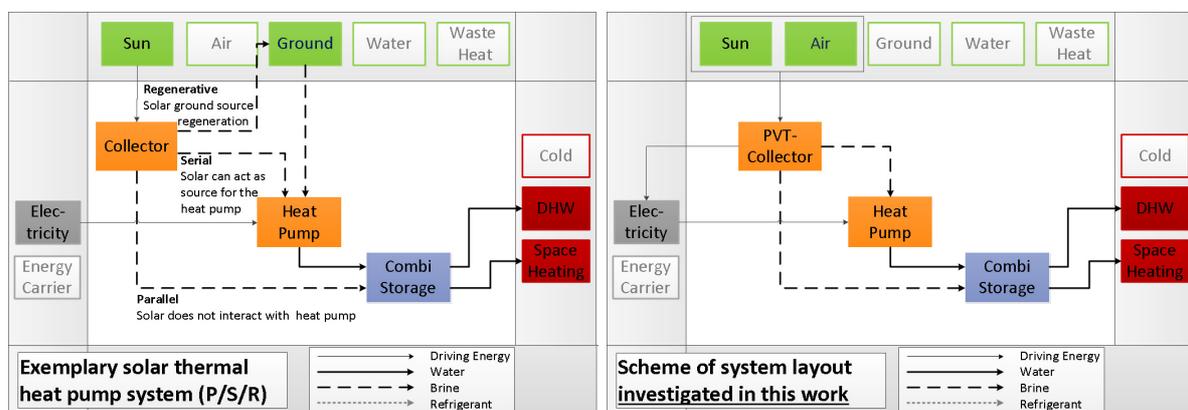
This work deals with the results of experimental measurements of a novel solar heat pump based heating supply concept for residential heat supply. The constructed load case aims at supplying heat for a single-family house with a moderate heat demand of 45 kWh per m<sup>2</sup> and year. An extreme system design case was measured comprising an array of rear insulated uncovered photovoltaic thermal (PVT) collectors, a brine/water heat pump with deactivated resistance heaters and extended temperature range on the evaporator side, and a two-zone combi storage. The main innovation is to operate the PVT array as the sole heat source for the heat pump and accordingly with extended collector loop temperatures below the freezing point. Performance analysis was carried out on two characteristic cold winter test days. Measurement results show that the present system configuration is able to cover the heat demand comprising domestic hot water and space heating. Analysis of the cold winter test days reveal that insignificant icing occurred on the surface of the collectors, and heat demand was met completely. Temperature comfort levels were reached for space heating and with few limitations for domestic hot water delivery. Improper system design was detected, discussed and several concrete optimization potential measures were identified. One was illustrated for a particular night time heat exchange operation on a test day in spring: A significant source temperature increase of 10 K can be expected, if an array of rear non-insulated PVT collectors would be used instead. For a summer test day with electricity data available, component and system performance figures were calculated.

*Keywords: solar thermal, heat pump, solar only, single source, photovoltaic thermal collector, PVT*

## Introduction to solar thermal heat pump systems

### 1.1 System concepts

In residential heating systems comprising domestic hot water preparation, solar thermal collectors are usually combined with a fossil back up. Replacing the fossil boiler with a heat pump provides the possibility to boost the renewable share of these heating systems. In such a solar and heat pump (SHP) system, solar thermal collectors and the heat pump supply energy for space heating and/or hot water **independently of the source(s) of the heat pump**. Heating systems with this kind of operation mode were defined as “**parallel**” within the work of the Task 44/Annex 38 “Solar and Heat Pump” of the Solar Heating and Cooling program of the International Energy Agency (T44A38). In the “**serial**” configuration the **solar thermal collector acts as a source of the heat pump**, either exclusively or additionally (Hadorn, 2015). In general, in SHP systems, both, parallel and serial operation modes can be realized within one system (Fig. 1, left). The SHP system investigated in this work is a combined parallel/serial solar thermal heat pump system (Fig. 1, right) with an array of unglazed PVT collectors.



**Fig. 1:** The left scheme shows an exemplary parallel/serial solar thermal heat pump system according to T44A38 visualization scheme with costly energy sources on the left bar, free energy sources on the top bar, and useful energy sinks on the right bar. The right

scheme shows the combined parallel/serial solar thermal heat pump system investigated in this work. Similar to the example on the left, this system has access to two heat sources via the PVT collector array.

## 1.2 Market barriers

The T44A38 market research conducted in 2011/2012 found 135 solar thermal heat pump system configurations offered by different suppliers and manufacturers (Ruschenburg, Herkel et al., 2013). Results of T44A38 have shown that there are promising systems in terms of energy performance in both, serial and parallel (and regenerative) configurations (Hadorn, 2015). For illustration purposes, Fig. 2 shows the operating temperatures of the main components, solar part and heat pump with either air or ground source, of said SHP configurations (“state of the art”). It can be seen that in (regenerative and) serial SHP configurations, controller and system design rule out operation temperatures below zero degrees in the solar loop. Manufacturers may shy away from the risk of formation of ice. On the one hand, the performance of unglazed solar collectors under operating conditions below the frost point was investigated in the past i.e. in (Massmeyer and Posorski, 1982) or more recently in (Bunea, Perers et al., 2015), but studies with operation of entire systems are still rare. Hence, a second heat source is required to cover the remaining (high) heat demand during cold weather periods. A consequence is that the utilization ratio of the solar array is greatly reduced by limiting the operating temperatures of the collector field above the freezing point (i.e.  $< 2\text{ }^{\circ}\text{C}$ ). As a result, the solar fraction of these systems would be in a similarly (low) range as for conventional solar thermal combi systems with fossil back up heater.

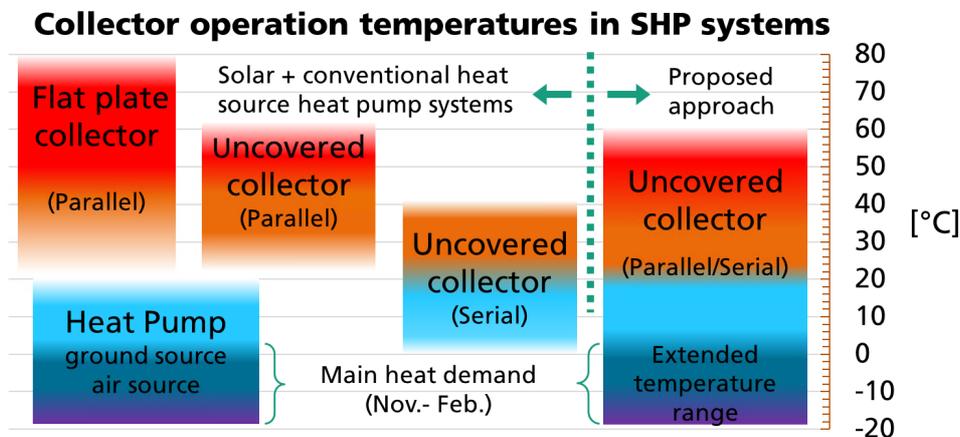


Fig. 2: Most SHP configurations investigated within T44A38 (i.e. market study) do not substitute a conventional heat source. Hence, solar does not cover the main heat load: This design approach limits the solar utilization ratio, thus leading to low fractional energy savings.

Whereas improved system performance can be achieved, the additional investment cost for the solar thermal part (solar collector array, hydraulics and pump group, installation and initial operation, etc.) forms a market barrier: Considering the extra cost of the “optional solar thermal add-on” and its limited saving potential, investors might question its meaningfulness. To date, solar thermal and heat pump systems – if at all – play a niche role in the national heat pump markets. In order to improve the cost efficiency solar thermal heat pump systems, it seems in the nature of the case to substantially increase the utilization ratio of the solar part by extending its temperature operation range below zero degrees Celsius, hence, making the conventional heat pump heat source and cost for its activation obsolete (Fig. 2, “Proposed approach”).

## 2. Single source SHP systems

### 2.1 Solar thermal and PVT: Previous developments

Only six out of 135 configurations of said T44A38 market study can be called single source SHP systems employing an array of solar collectors as sole source of the heat pump (Tab. 1). All systems but one use unglazed solar collectors to operate below ambient temperatures. The majority of systems allow for operation below the frost point. All but two systems rely on smaller or larger source side storages, mostly using water as a phase change material, which results in additional cost. None of the systems use PVT collectors. On the one hand, PVT collectors in T44A38 system configurations are only employed as additional low-temperature heat and electricity source for space heating and DHW or to regenerate a heat pump source (ground probe or brine storage). On the other hand, the prospect of a heat pump heating system with a single component PVT collector as heat and electricity generator for the heat pump seems attractive.

Tab. 1: Overview of collector types, operation temperatures and storage types of all identified single source solar thermal “only” heat pump system configurations of T44A38 market study. Only systems providing space heating and domestic hot water (DHW) are listed. For comparison, the system investigated in this work is shown at the end.

#	Available modes	Collector type	Collector array operated		Storage on heat pump ... side	
			as ambient heat exchanger	below freezing point	source	sink
1	Parallel/Serial	Flat plate	Presumably not	-	wet sand (large, outdoor)	DHW
2	Serial	Absorber	yes	yes	-	DHW
3	Serial	Absorber	yes	?	ice (large, outdoor)	DHW
4	Serial	Absorber	yes	yes	ice (320 l)	Combi storage
5	Serial	Absorber	yes	yes	-	DHW
6	Serial	Flat plate with integrated fan	yes	yes	ice (320 l)	Combi storage
<b>This work</b>	<b>Parallel/Serial</b>	<b>PVT</b>	<b>yes</b>	<b>yes</b>	<b>-</b>	<b>Combi storage</b>

While in R&D, the heat supply concept of PVT collectors applied as sole heat source for a heat pump gained increased interest (Zhang, Zhao et al., 2012), especially for DHW applications (Wang, Guo et al., 2017), efficient application in combined space heating and DHW still seems to pose a challenge. To date, the authors are aware of only one single source PVT heat pump system configuration for space heating application which is about to enter the market (Leibfried, 2018).

## 2.2 Objectives of the study

The objective of this study was therefore to check the principal meaningfulness and furthermore the potential of the single source PVT heat pump as a heat supply concept for residential buildings.

1. Is the array of PVT collectors able to sufficiently supply heat for the heat pump during cold weather periods, including extreme cold and snowfall?
2. To what extent can icing be expected due to the continuous low-temperature operation?
3. Which optimization potential can be derived?
4. How to integrate the electric benefit of PVT into established performance figures?

## 3. Experimental setup

### 3.1 Single source PVT heat pump system configuration

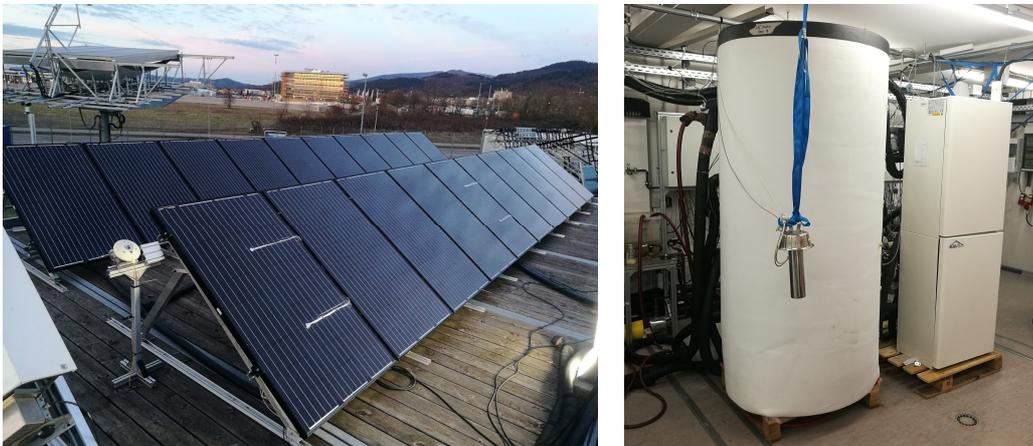


Fig. 3: Investigated single source SHP system with an array of PVT collectors in two rows totaling 20 collectors installed on top of the system test stand at Fraunhofer ISE (left). The photo on the right shows the installed combi storage and the heat pump including a hydraulic unit. The power inverter is installed as well (not shown in the photo).

A parallel/serial solar thermal heat pump system with an array of PVT collectors, power inverter, combi storage, heat pump, and hydraulics was installed at the system test rig at Fraunhofer ISE in Freiburg, Germany and put into operation in December 2017 (Fig. 3). The main system components are described in further detail in Tab. 2.

**Tab. 2: Description of the main components of the measured solar thermal heat pump system.**

Component	Subcomp.	Description
Solar PVT array 31,4 m <sup>2</sup> 5,2 kWp,	Collector type	The array consists of 20 rear-insulated uncovered and therefore infrared and wind-dependent PVT collectors (“2Power HM 1000, 1Power 260 Mono Black”). Rated power is 260Wp (PV), area is 1,57m <sup>2</sup> .
	Electric side	The modules are connected to an SMA inverter, which is connected to the grid. The inverter is powered only by the PV array.
	Thermal side	The array is subdivided into four arrays with five modules each. The hydraulic connectors of the modules are connected according to the Tichelmann system; hence all collectors are flowed through in parallel.
	Control	The parallel mode is set as first priority. Charging is triggered if the temperature of the solar array is above the lower storage tank temperature. Serial mode is triggered by heat pump control settings based on heat demand.
Heat pump P <sub>rated</sub> : 5,1 kW	Type	Brine-water heat pump with extended temperature operation range on the source side from -20 °C to +30°C.
	Heating rods	Resistance heaters (backup) on source/sink side deactivated during measurements.
	Control	Storage tank temperatures trigger the serial heat pump operation using the solar array. After parallel mode, serial mode is second priority: The heat pump should provide temperatures above 48°C in the DHW section of the combi storage. If this criterion is fulfilled, the space heating section of the combi storage is charged. The required temperature depends on the ambient temperature.
Storage	Type	850 liter combi storage provided with a permeable partition plate to avoid temperature mixing of space heating and domestic hot water zones. Inner outlets in the space heating zone are provided with diffusors (cf. Fig. 4).

### 3.2 Description of load emulation and measurement equipment

Fig. 4 shows the experimental setup of this work comprising the solar thermal heat pump system (equipment under test), with its main thermal components and hydraulics as well as the interface to the test rig. In total, almost 60 sensors were installed, of which 41 were calibrated for the characterizing measurements, the remaining form part of the equipment under test. Tab. 3 and Fig. 4 refer to (and show) selected sensors relevant to this work only: Tab. 3 shows the applied sensor types and accuracies; Fig. 4 shows the denominations of the sensors used in result diagrams (Fig. 5) in chapter 4.

**Tab. 3: Properties of selected sensors used for the measurements and presented in this work.**

Sensor	Manufacturer	Type	Accuracy
Temperature (fluid)	TMH	PT 100	0,1 K
Flow Rate	Krohne/Siemens	magnetic inductive (Optiflux 5000/ Sitrans FM MAG 1100)	0,5 %
Solar radiation	Kipp&Zonen	CMP 11	2 %
Relative humidity	B&B Thermo-Technik GmbH	HA-ANA-10V	2 %
Electricity (summer test day only)	EMU	Allrounder 3/75	1 %

Fig. 4 shows the load side on the right side of the dashed line, comprising a space heating loop (radiator) and domestic hot water loop with a freshwater station (heat exchanger and shower head symbol). In both cases, hot water tapping from the combi storage is realized by directly connected hydraulic loops with eccentric worm pumps. Details of the volume flow control specifications are given in Tab. 4.

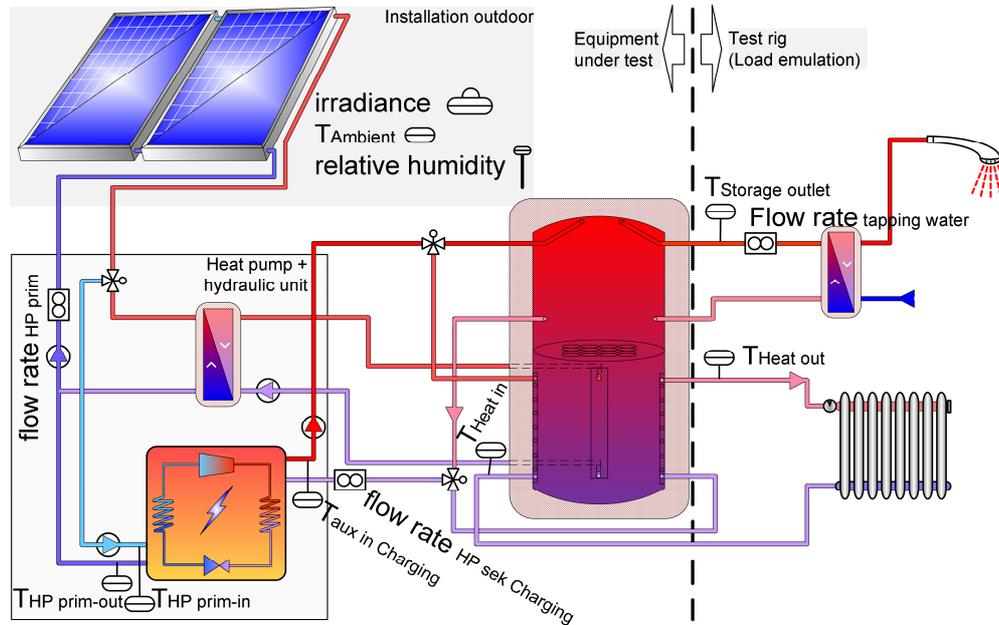


Fig. 4: Experimental setup of this work comprising the solar thermal heat pump system, with its main thermal components and hydraulics, interface to the test rig and sensor positions. The electric side of the solar array is connected to a power inverter (not shown). Sensor denominations given in this illustration are used in Fig. 5 of the next chapter.

Tab. 4: Set up for the domestic hot water (DHW) and space heating heat loads.

Type	Load description
DHW load:	<p><b>7,7 kWh at 45 °C carried out in 23 draw offs (shower, small draw offs, dishwashing, bathtub).</b></p> <p>The load profile is equal for every day and based on the EU tapping cycle “M” with 23 draw-offs, ~5,8kWh (Ecodesign Regulation 814/2013, 2013). The following T44A38 modifications according to (Haller, Dott et al., 2013) were adopted:</p> <ul style="list-style-type: none"> <li>• Since a daily 3,6 kWh “bathtub” draw off was added to the EU tapping cycle, the energy demand for the other draw-offs was reduced (Total: 7,7kWh instead of 9,4kWh). <ul style="list-style-type: none"> <li>• Required draw off temperatures were set to 45 °C.</li> </ul> </li> </ul> <p>Deviations from the T44A38:</p> <ul style="list-style-type: none"> <li>• The bathtub draw-off is every day (not every 7th day)</li> <li>• The dishwasher draw-off is as well at 45 °C (instead of 55 °C)</li> </ul> <p>The temperature loss of the freshwater station was assumed 3 K. Hence, the heat pump control was set to <b>provide temperatures of least 48°C</b> in the DHW zone of the combi storage.</p>
Heating load:	<p><b>65 kWh “Winter peak case” for winter and spring test days based on SFH45 building with underfloor heating (<math>\Delta T = 4K</math>) in the climate of Strasbourg.</b></p> <p>A maximum heating load “winter load case” was defined for all test days except summer. The load is based on T44A38 simulation results for an “SFH 45” building with an underfloor heating in the climate of Strasbourg (45 kWh/m<sup>2</sup>a) and a heated area of 140m<sup>2</sup>. Based on simulated monthly values given in (Dott, Haller et al., 2013), the mean daily heat demand for the coldest months December and January was obtained (51 kWh/d and 54 kWh/d). Since there are positive (and negative) deviations from these mean values throughout these months, an increased daily space heat load of 65kWh was chosen for said winter load case. The temperature of the space heating zone of the combi storage (hence, indirectly <math>T_{Heat\ out}</math>) is controlled dynamically by a heating curve dependent on ambient temperature, as usual in heating systems. The heat load of 65 kWh is achieved as follows: Underfloor heating loops are often operated without night setback because of their inertia; accordingly, the eccentric worm pump operates 24 h with a (constant) volume flow of 10 l/min. Assuming a temperature difference of 4K between <math>T_{Heat\ out}</math> and <math>T_{Heat\ in}</math>, ~65kWh is discharged from the combi storage within 24h. <math>T_{Heat\ in}</math> was set dynamically to <math>T_{Heat\ out} - 4\ K</math> with a minimum temperature admitted by the thermostat at 20°C.</p>

### 3.3 Test days

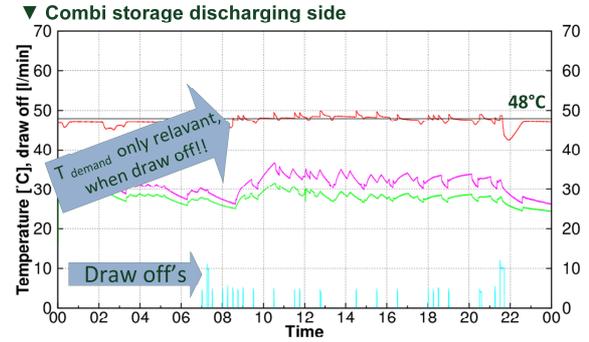
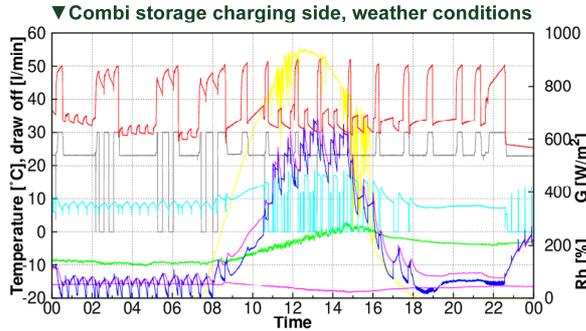
In response to the questions raised in section 2.2 (Objectives of the study), characteristic test days were selected from the measurement period and analyzed accordingly. Refer to the next chapter for test day description and

system performance analysis.

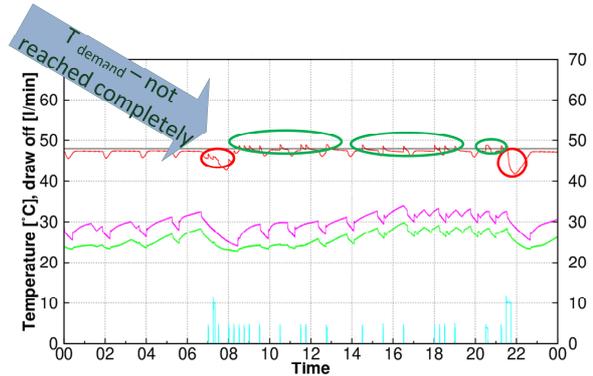
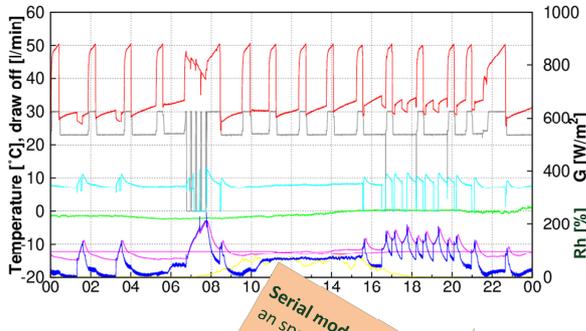
## 4. Results: Performance analysis on test days and optimization potential

### 4.1 Presentation of measurement results on test days

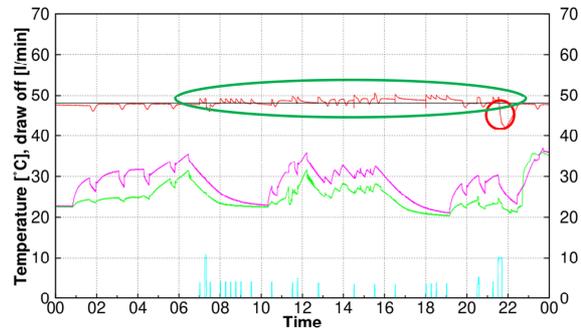
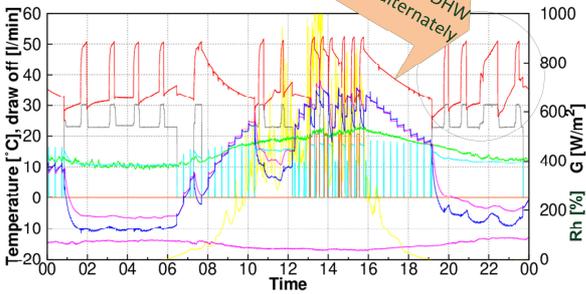
#### 1st winter test day – 28.02.



#### 2nd winter test day – 03.03.



#### Spring test day - 09.04.



#### Summer test day – 18.08.

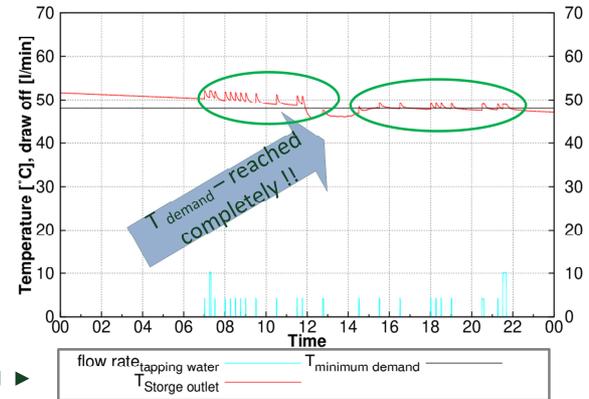
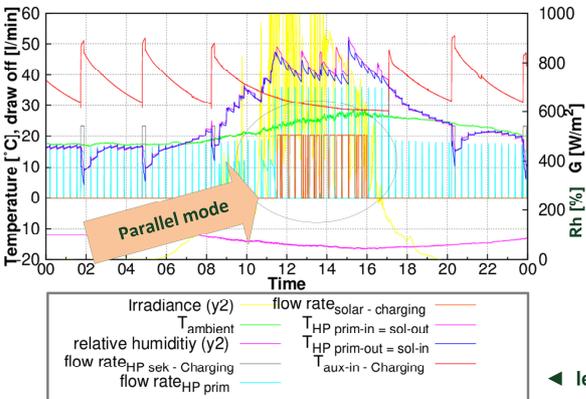


Fig. 5: Diagrams of the following test days: Winter (1<sup>st</sup>: 28.02.18 and 2<sup>nd</sup>: 03.03.18), Spring (09.04.18) and Summer (18.08.18). Diagrams on the left show the charging side of the combi storage, diagrams on the right show the discharging side of the combi storage. Legend applies to all diagrams above. Refer to Fig. 4 for positions of the sensors within the system.

**Result diagram description:** Result diagrams of all test days are shown in Fig. 5. The characteristic operating behavior of the system is shown by pairs of diagrams showing the key sensor values of the charging (left) and discharging (right) side of the combi storage. Discharging is carried out via the domestic hot water and/or the space heating loop. Refer to Fig. 4 of the previous chapter for sensor positions. Irradiance and ambient temperature are shown on the left side.

**Load side - DHW tapping emulation:** 23 draw offs were performed on every test day, with the desired characteristics. Energy quantities as provided by the test rig are presented (Tab. 5). DHW tapping was carried out as intended: The targeted energy load of 7,7kWh was either met or slightly surpassed presumably due to a temperature level above 48°C in the DHW zone of the combi storage on test days spring and summer.

**Load side - space heating load emulation:** Due to inappropriate control settings of the thermostat, which in turn lead to temperature differences lower than 4K between  $T_{\text{Heat out}}$  and  $T_{\text{Heat in}}$ , the targeted “winter peak case” heat demand of 65 kWh was met only on the second winter test day (03th of March), caused by the control of the test rig. Nevertheless, heat demand is still appropriate: 57.2 kWh is still above the mean heat demand of December (51kWh/d) and January (54kWh/d, cf. Tab 4, “heating load”).

Tab. 5: Measured heat loads for DHW and space heating for given test days. Measurements were carried out in 2018.

Unit [kWh]	1 <sup>st</sup> winter day 28 <sup>th</sup> Feb.	2 <sup>nd</sup> winter day 03 <sup>th</sup> Mar.	Spring day 09 <sup>th</sup> Apr.	Summer day 19 <sup>th</sup> Aug.
DHW: Total	7.6	7.5	8.1	9.1
DHW: Tapping, shower	4.1	4.0	4.6	5.4
DHW: Bathtub	3.6	3.6	3.6	3.7
Space heating: Total	57.2	66.9	55.7	0.0

**General remarks regarding the system behavior – combi storage charge:** Charging is carried out either via the heat pump (serial mode) or via the solar plate heat exchanger (parallel mode). In serial mode, the heat pump is charging the DHW and space heating zone of the combi storage alternately (cf.  $T_{\text{aux-in - charging}}$ ). Depending on the heat demand, this occurs continuously, as on the 2<sup>nd</sup> winter test day, or with interruptions when the combi storage is fully charged (cf. spring test day from ca. 07:30). During these periods, it can be seen that the heat pump control “checks” the source temperature level three times per hour, sending a volume flow of about one minute to the source side pump. On the summer test day, the occurrence of the parallel mode can be observed, starting at about 11:30 (cf.  $\text{flow rate}_{\text{solar - charging}}$ ,  $T_{\text{HP prim-in = sol out}}$   $T_{\text{HP prim-out = sol in}}$ ).

**General remarks regarding the system behavior – combi storage discharge:** Regarding the achieved temperatures of the space heating temperatures, the two winter test days are relevant only (Refer to the following section 4.2). Regarding the achieved DHW temperatures, a general problem can be observed which concerns the heat pump charging behavior: DHW temperature comfort level ( $T_{\text{Minimum demand}} = 48^\circ\text{C}$ ) is generally met for all draw offs apart from the bathtub where discharge temperatures always drop to about 43 - 44 °C. That this is a heat pump specific issue can be seen on the summer day, where the combi storage is fully charged by the solar array in parallel mode. The heat demand for the entire bathtub is met without any temperature drop. Further malfunctions due to bad control or system layout are discussed in chapter 4.4, Tab 5.

#### 4.2 Performance under cold weather conditions

This section deals with the question, whether the array of PVT collectors is able to sufficiently supply heat for the heat pump during cold weather periods, including extreme cold, snowfall, and icing. In response, system performance on two typical winter situations is analyzed.

- 1<sup>st</sup> winter day (28.02.) Clear sky day/night with ambient temperature ranging from -5 °C to -10 °C. 27<sup>th</sup> and 28<sup>th</sup> of February 2018 were the coldest days of the entire winter period 2017/2018 in Freiburg (Fig. 5,6).
- 2<sup>nd</sup> winter day (03.03.) Cloudy day/night with an ambient temperature between -2°C to 1°C (Fig. 5,7).

**Icing:** Fig. 6 shows the maximum level of ice formation at 04:53 the early hours of the 1<sup>st</sup> winter test day. The layer of ice is in places and superficially only (< 1 mm). Similar behavior was observed during measurements of other cold days, as well as in the climate chamber (Schmidt, Schäfer et al., 2018). **“Ice-production” on the module surfaces did not exceed 1cm of thickness (precipitation) on the front side during the entire system measurement phase (Dec. ’17 to Aug. ’18). No slipping of ice could be detected (tilt angle of 35°).** The

comparably low ice formation can be explained due to the low flow of humidity being transported to the surface of the PVT collectors by means of natural convection. In contrast, icing occurs usually in external air units, where humidity is permanently supplied by means of a forced air flow to the compact heat exchanger of the external unit. In consequence, significant amounts of icing on the PVT collector array should only be expected if transport of humidity is assured in large quantities (i.e. precipitation). It could also be observed, that surface ice would melt within a few minutes under direct solar irradiation.



Fig. 6: Maximal observed ice cover on the surface of the solar array in the clear sky night from 27<sup>th</sup>/28<sup>th</sup> of February with a thickness approximately below one millimeter (left). On the coldest two days of the winter 2017/2018, the solar array reached operation temperatures above 20 °C from noon to the early afternoon.

The layer of snow on the solar array on the 2<sup>nd</sup> winter test day (Fig. 7) originates from snowfall on the previous day. It persisted for the entire test day on the solar array due to: low irradiance, the cooled PVT collectors operating as ambient heat exchanger and ambient air temperatures close to the freezing point. As explained below, such a layer of snow still allows for ambient heat exchange with the collector fluid. Measurements on absorbers under comparable conditions (Bunea, Perers et al., 2015) revealed that heat exchange can be increased due to the “naturally” increased collector surface. **The heat pump allows for manual reversing the heat pump cycle to heat the collector with energy by cooling the space heating zone of the combi storage. The mechanism was successfully tested on a layer of snow (+5 cm) on the solar array:** The layer of snow started melting on the side touching the collector array and slipped afterwards to the test rig floor.



Fig. 7: The solar array was covered with a layer of snow with a thickness of ~2 cm on the solar array for the period of 24h of the first winter test day (03.03.). The photos show the first row of the solar array in the morning (07:02) and in the evening (17:41).

**System performance on the 1<sup>st</sup> winter day (cf. Fig. 5):** On the second coldest day of the winter period 2017/2018, a space heat demand of 57.2 kWh is covered. Mean temperatures of the space heating loop ( $T_{\text{heat in}} + T_{\text{heat out}}/2$ ) range from 26°C to 33°C. DHW energy demand is met as well; comfort levels are met with exceptions in the morning and in the evening (cf. section 4.2, last paragraph and section 4.5, Tab. 6, Area “DHW”).

Throughout the day, the heat pump is operating most of the time in serial mode (cf.  $\text{flow rate}_{\text{HP Sek - Charging}}$ ). Due to the cold ambient temperatures, when the evaporator outlet temperature  $T_{\text{HP prim-out}}$  reaches -20 °C, the heat pump switches to frost protection mode and combi storage charging is interrupted. This occurs frequently from midnight to about 8am in the morning. During this time, it can be seen how space heating loop temperatures decline gradually. Interestingly, during the sunny day, mean evaporator temperatures ( $(T_{\text{HP prim-in}} + T_{\text{HP prim-out}})/2$ ) increasing along with the sunrise to peak values above 20 °C between 12:00 and 15:00 and decreasing back to low night time values after sunset. Hence, on clear sky days, the single source heat pump can play out its advantage by means of high source temperatures compared to air or ground source heat pumps.

**System performance on the 2<sup>nd</sup> winter day (cf. Fig. 5):** On this cloudy winter day, where a layer of snow covers

the solar array, a space heat demand of 66.9 kWh is covered. Mean temperatures of the space heating loop ( $T_{\text{heat in}} + T_{\text{heat out}}/2$ ) range from ca. 26°C to 30°C. DHW energy demand is met as well; comfort levels are met with exceptions due to an unknown charging interruption of the heat pump between ca. 7:45 to 8:45 (see also section 4.2, last paragraph and section 4.5, Tab. 6, Area “DHW”).

Likewise, to the first winter day, the heat pump is operating most of the time in serial mode (cf. flow rate<sub>HP Sek - Charging</sub>), charging DHW and space heating zone alternately (cf.  $T_{\text{aux-in - charging}}$ ). Mean evaporator temperatures ( $T_{\text{HP prim-in}} + T_{\text{HP prim-out}}/2$ ) are below -10°C during the whole day reaching minimum values at night time between -15° and -18°C. Apart from two frost protection cycles (at about 01:15 and 03:15), the solar array provides low-temperature energy to the heat pump at all times.

**Assessment of system performance under cold weather conditions:** Heat demand was met completely. The heat was delivered at the required temperature levels for space heating and with few limitations for domestic hot water.

### 4.3 Suggested performance indicator

Fig. 8 shows the equation for the suggested performance figure “SPF<sub>SHP, incl PV</sub>” for the investigated single source PVT heat pump system. Equations were derived from the performance figures developed in T44A38 (cf. “SPF<sub>SHP, excl. PV</sub>”). Basically, in the suggested equation the electricity consumption is reduced by the amount of the PV generator. Electricity fed to the grid is an important evaluation indicator but should be disregarded for this particular performance figure to avoid confusion.

Boundaries and values for SPF<sub>SHP, incl PV</sub>, SPF<sub>SHP, excl. PV</sub>, SPF<sub>HP</sub> for the summer test day are shown. From Fig. 5 it can be seen, that the heat pump charged the combi storage DHW zone five times. Remaining significant electricity consumption was caused by the circulation pumps which also charged the combi storage. This explains why the SPF<sub>SHP, excl. PV</sub> is higher than SPF<sub>HP</sub>. By comparing the values of SPF<sub>SHP, incl PV</sub> with SPF<sub>SHP, excl. PV</sub>, the energetic performance benefit of the integrated PV generator for the heat production of the PVT collectors becomes tangible.

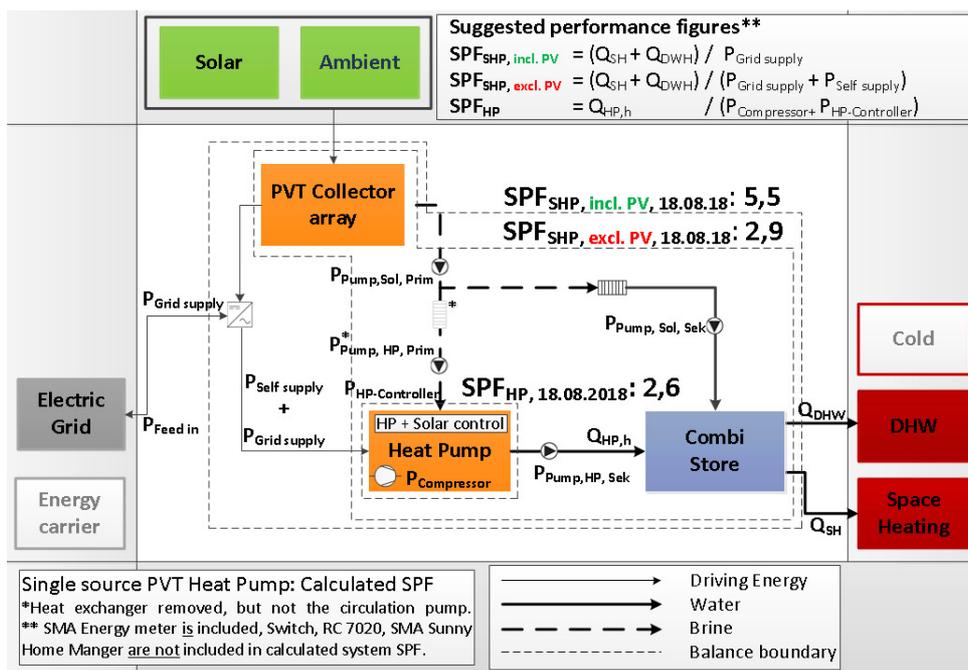


Fig. 8: Upper left corner shows the equation for the suggested performance figure “SPF<sub>SHP, incl PV</sub>” for the investigated single source PVT heat pump system. Equations derived from the performance figures developed in T44A38. Boundaries and values for SPF<sub>SHP, incl PV</sub>, SPF<sub>SHP, excl. PV</sub>, SPF<sub>HP PV</sub> for the summer test day are shown.

### 4.4 Optimization potential

Before looking at the actual optimization potential of the investigated system, Tab. 6 summarizes the unintended system behavior observed during measurements, i.e. due to control settings and/or system layout. Subsequently optimization measures are listed in the order of impact in Tab. 7.

Tab. 6: Discussion of malfunctions of the investigated equipment under test due to bad control or system layout.

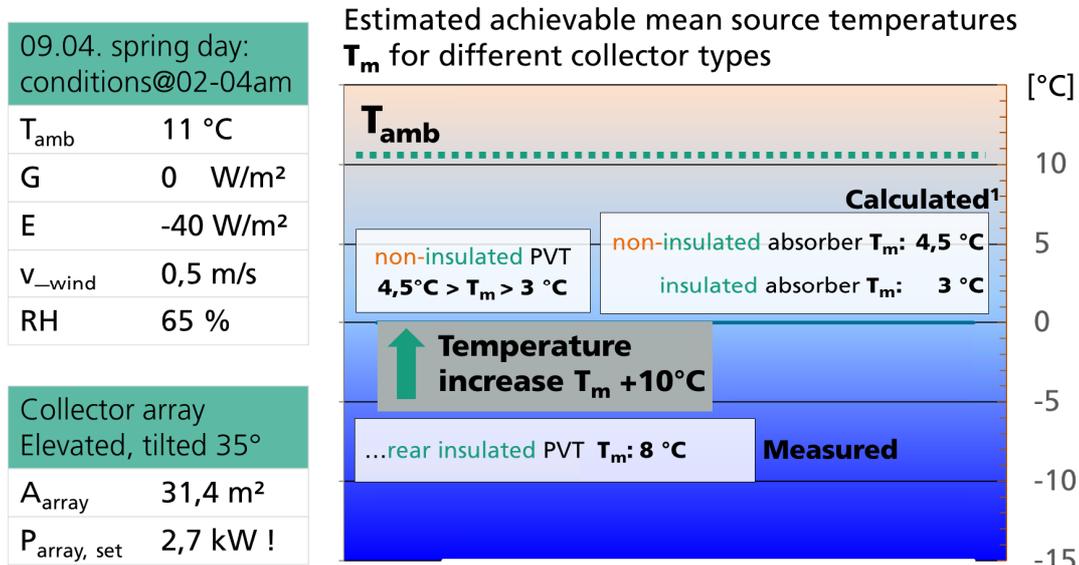
Area	Problem observed	Cause	Solution
DHW	Shower and bathtub temperature	In principle, the DHW zone of the combi	Fixed continuous

	comfort level could not be reached totally. DHW charging of the heat pump very frequently (15 to 21 times in winter and spring test days).	storage is large enough to cover the heat demand. Most likely reason is inappropriate charging of the DHW zone eventually in combination with mixing while either charging DHW or tapping.	DHW charging window during daytime (cf. optimization).
Heat pump	Periods with inactive heat pump but active circulation pump, hence, removing heat from the storage.	To be clarified with the manufacturer.	-
Heat pump	Frequent heat pump cycling.	To be clarified.	-
Solar thermal	Parallel mode operation puts DHW comfort level at risk.	Using the solar array for the parallel mode (direct combi storage heating) prevents the heat pump to charge DHW when needed.	Fixed DHW charging window or remove parallel mode.
Solar thermal	Further evaluations showed significantly reduced power output from the solar plate heat exchanger	Plate heat exchanger capacity too small for the PVT collector array.	Increase capacity or remove parallel mode.

Tab. 7: Suggested optimization measures for of the investigated equipment under test.

Area	Motivation for measure	Suggested optimization measure
#1 Solar array	<ul style="list-style-type: none"> <li>Substantially increase source side temperatures of the heat pump</li> </ul>	<p>Use of rear non-insulated PVT-collectors in the solar array. The measure has further consequences: The parallel operation mode could also be removed, saving invest for related components and installation. Motivation to remove the parallel mode includes</p> <ul style="list-style-type: none"> <li>A non-insulated PVT collector will achieve lower temperature levels due to increased heat losses when operated above ambient, resulting in reduced overall operation time of the parallel mode.</li> <li>The parallel mode will run during times of high irradiance. In this case, the electricity demand of the heat pump could be met by the PV generator</li> </ul> <p>In order to prevent unwanted shutdown of the heat pump when the solar loop temperatures exceed the upper temperature threshold of the heat pump evaporator side, a bypass cooling loop with a mixing valve would have to be designed.</p>
#2 Storage	The heat pump operates “demand driven”; acting according to the temperature needs of the combi storage; Overall estimated yearly heat pump operation time is less than 20%. Accordingly, there is a high likelihood that the system will not operate during favorable operation conditions.	<ul style="list-style-type: none"> <li>Implement a source side storage in the system layout.</li> <li>A cheaper option could be to use the available thermal storages on the sink side, such as said combi storage or the capacity of the underfloor heating loop. In order to activate sink side storages, the target temperature set value for storage/underfloor heating should be increased temporarily. Trigger for such control activities could be the current power output of the PV generator. With such an approach the increased heat demand of extremely cold but clear and sunny periods (1<sup>st</sup> winter test day) can be met more efficiently.</li> </ul>
#3 Heat pump control	<ul style="list-style-type: none"> <li>Avoid problems associated with DHW charging in combi storages</li> <li>Higher source temperatures during daytime, use “free” PV electricity for heat pump</li> </ul>	Define a charging window for the DHW zone, ideally during daytime, for example between 12h and 14h. Fixed daily DHW charging time windows for combined solar thermal and heat pumps systems with combi storages were also recommended by (Haller, Haberl et al., 2014)
#4 Heat pump control	<ul style="list-style-type: none"> <li>Avoid reoccurring source side pump operation, when heat pump is off.</li> </ul>	As usual in solar thermal systems, the solar array usually provides a collector temperature sensor to “inform” the system controller about potential energy harvest. The heat pump could eventually adopt this mechanism; hence avoiding flow driven source temperature checks for the heat pump controller.

**System performance estimation with an improved solar heat exchanger:** In order to get an idea of optimization measure #1 (Tab. 7, “Substantially increase source side temperatures of the heat pump”), the potential mean collector loop temperature increase of an alternative solar array with **rear non-insulated** PVT collectors was estimated for a particular situation, namely the spring test day from 02-04 am.



**Fig. 9: Results of the system performance estimation with an array of rear non-insulated PVT collectors. A significant temperature increase of 10 K of the source temperature for the heat pump can be expected for the given boundary conditions.**

The estimation is based on the assumption, that a **rear non-insulated PVT should** – when operated as ambient heat exchanger – **perform “better” than a rear insulated absorber, but “worse” than non-insulated absorber.** This can be expected when considering that the **rear non-insulated PVT** basically consists of a non-insulated absorber - with a small insulation due to the PV module. Hence, the achievable collector loop/evaporator temperature [ $T_m = (T_{HP\ prim-in=sol-out} + T_{HPprim-out=sol-in})/2$ ] of such a rear non-insulated PVT should supposedly be significantly lower than the one of the non-insulated absorber and slightly above the one of the rear insulated absorber (Fig. 9.).

Collector loop temperatures for the two absorbers were calculated using absorber parameters and the equation developed in (Bunea, Perers et al., 2015). Input values for the equation (weather data) are given in the table in Fig. 9. A measured value for the wind velocity was not measured; it was therefore assumed 0,5m/s. As a result, the temperature increase amounts to about 10 K when switching from rear insulated PVT array to a non-insulated PVT array of the same size providing the same power (~2,7kW). It should be noted, that the achievable  $T_m$  of 3 °C to 4,5°C is close to the  $T_m$  of an external air source unit (rated power 5kW), which can be in the range of the non-insulated absorber at fan speeds of ~50%. Results are achievable only if the PVT collectors are naturally ventilated on their rear side similar to the investigated setup. A non-insulated PVT installed closely attached to a roof surface will perform “worse”.

## 6. Conclusion

Up to date, combined solar thermal heat pump (SHP) systems form a niche in the national heat pump markets. The hypothesis is raised that an ineffective integration of the solar collector array forms a market barrier due to increased cost but little-added value, because solar thermal collectors are inactive during the cold periods of a year when heat demand is large. While such inactivity is inevitable in a conventional solar thermal system with a fossil backup heater, the solar array of a SHP system should be active, operating as heat exchanger to ambient during low irradiation conditions and night time. Hence, in order to exploit the full saving potential in SHP systems, it is suggested to substitute other conventional heat pump sources entirely by the solar thermal array. To date, such single source SHP systems typically rely on solar absorbers. The objective of this work was to check the principal meaningfulness and potential of an array of photovoltaic thermal (PVT) collectors in a single source SHP system configuration with a residential heat demand: On the one hand, a PVT collector can provide electricity for the heat pump. On the other hand, the thermal performance is lower compared to a solar thermal absorber.

Results suggest that the single source SHP with PVT collector array is a promising heat supply concept for residential buildings. An extreme case with an array of rear-insulated PVT collectors was investigated. A brine/water heat pump with extended temperature range on the evaporator side and deactivated resistance heaters as well as two-zone combi storage form the other components of the SHP system configuration. The analysis of two cold winter test days reveals that insignificant icing occurred on the surface of the collectors and heat demand was met completely. Temperature comfort levels were also met for space heating and with few limitations for domestic hot water delivery. Improper system design was detected and discussed and concrete optimization potential measures identified. The most obvious measure is to replace the solar array with rear non-insulated PVT collectors. A significant temperature increase of source temperatures can be expected. Estimated for a particular night time heat exchange operation of the solar array in spring; the increase amounts to 10 K. For the summer test day with electricity data available, component and system performance figures were calculated exemplarily. Concerning autumn and winter situations, corresponding performance figures will be measured in the period of 10/2018 to 02/2019.

## 7. Acknowledgements

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