

# Testing and modeling of Direct Steam Generating Parabolic Trough Collectors

**Souha Ferchichi<sup>1</sup>, Hamdi Kessentini<sup>1</sup>, Sergio Morales<sup>2</sup>, Lisa Willwerth<sup>4</sup>, João Soares<sup>3</sup>, Jesús Castro<sup>2</sup>, Chiheb Bouden<sup>1</sup>, Armando Oliveira<sup>3</sup>, Ridha Ben Cheikh<sup>1</sup>**

<sup>1</sup>Université Tunis el Manar, École Nationale d'Ingénieurs de Tunis, Tunis, (Tunisia).

<sup>2</sup> Technical University of Catalonia, Heat and Mass Transfer Technological center, Terrassa Barcelone (Spain)

<sup>3</sup> University of Porto- Faculty of Engineering, Porto (Portugal).

<sup>4</sup>German Aerospace Center (DLR), Institute of Solar Research Cologne, Germany.

## Abstract

The present work is elaborated in the framework of the REELCOOP research project. A mini hybrid (solar/biomass) power plant was installed and is under testing at ENIT (Ecole Nationale d'Ingénieurs de Tunis), Tunisia. The power plant relies on Parabolic Trough Collectors (PTC) operating with Direct Steam Generation (DSG), an Organic Rankine Cycle (ORC) for power generation, and a boiler as a backup system.

A general numerical model is developed to predict the thermal behavior of the two-phase flow in the PTC collector. The model is validated against experimental results carried out in DISS test facility and good agreement is found between the numerical and experimental results. The model is then used to investigate the performances of a PTC using hot water under Tunisian climatic conditions.

Preliminary tests of the REELCOOP installation were performed for solar only mode on August 2017 and the DSG was successfully demonstrated. The test results showed that the plant is able to produce steam up to 176°C.

*Keywords: Parabolic Trough Collector, Direct Steam Generation, Performance analysis.*

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## 1. Introduction

Concentrating Solar Power (CSP) is one of the most promising electricity generation technologies especially in areas with abundant solar radiation and high electricity demand, such as North Africa (Salazar, 2008). CSP plants concentrate the solar radiation through their mirrors and reflect it on to a receiver using a thermal fluid as a Heat Transfer Fluid (HTF), exchanging heat with heat exchangers to generate steam and run a power cycle. Another perspective is to directly generate steam in the collectors, eliminating the need for heat transfer fluid in the solar field. This approach avoids the harmful effects of oils, improves the overall efficiency of the system by avoiding heat exchangers and therefore reduces the cost per kWh produced. (Birnbaum et al., 2011). One of the challenges DSG presents is the dynamic behavior, under the effect of the variation of the solar radiation, of the absorber tube in which the direct production of steam occurs. This issue is addressed in the framework of a European research project (REELCOOP), funded under the FP7 program, which aims at the demonstration, at ENIT university, of a small scale CSP plant with a capacity of 60 kW using a Rankine organic cycle turbine and PTCs (1000 m<sup>2</sup>) for direct steam production. This plant is equipped with a backup system using biomass (Oliveira and Coelho, 2013).

Different research studies including, modeling, simulation, and optimization of DSG in PTCs, have been carried out with the aim to predict the behavior of a direct steam generating PTC. The approaches included CFD models (Lobón et al., 2014b), steady state and transient models (Biencinto et al., 2016; Hachicha et al., 2018; Lobón and Valenzuela, 2013; Odeh et al., 1998 and Odeh et al., 2000) and simulation tools

(Aurousseau et al., 2015 ; Kurup et al., 2017 and Serrano-Aguilera and Valenzuela, 2016). The current developed model is based on solving the governing equations for the fluid flow, and applying the energy balance for the solid parts (receiver and glass envelope). The implementation of this approach is straightforward and it has a short simulation time. Besides, it predicts the flow behavior along the receiver tube with a good accuracy.

The main objectives of this work are: first to develop a thermal model taking into consideration the phase change as well as the transition between the different phases inside the PTC absorber tube; secondly, to simulate the performance of the PTC using hot water as HTF; thirdly, to present the DSG preliminary tests of REELCOOP facility. The developed model will serve as a future and fast practical tool to simulate the REELCOOP prototype solar field.

## 2. Numerical Model Description

The developed model is based on solving the governing equations (mass, momentum and energy) for the fluid flow inside the absorber tube, and applying the energy balance for the solid parts (absorber and glass envelope). The model applied for single phases was solved using the energy balance for the fluid flow and tube walls also. The heat transfer in a cross section of the Heat Collector Element (HCE) is shown in Fig.1.

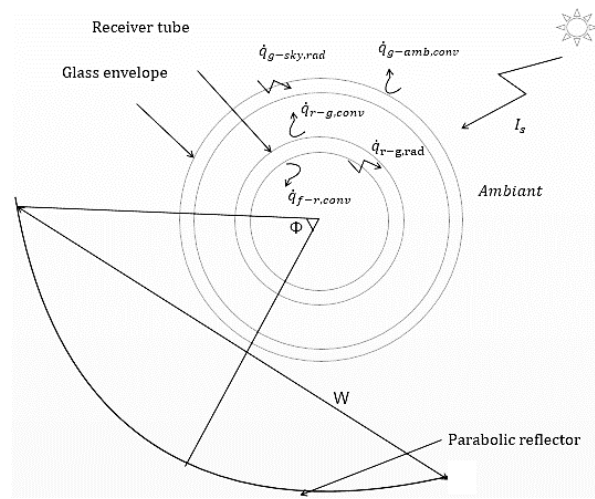


Fig. 1: Heat transfer in a cross section of a HCE

Most of the incoming solar radiation is absorbed by the receiver tube thanks to the high absorptance provided by its coating. A part of the absorbed energy is gained by the HTF inside the receiver tube, and the remaining is transmitted to the glass envelope by natural and forced convection. The heat losses from the glass envelope are in the form of convection to the ambient, and radiation to the sky (Forristall, 2003).

The energy balance equations are discretized between the HTF and the ambient by applying the Finite Volume Method (FVM) on the solar collector, and the set of the non-linear algebraic equations are solved using a step by step method.

The HCE is discretized in the axial direction, and the steady state energy balance for the receiver is expressed by:

$$C \times \dot{q}_{r,SolAbs} = \dot{q}_{r-g,conv} + \dot{q}_{f-r,conv} + \dot{q}_{r-g,rad} \quad (\text{eq.1})$$

Where C presents the geometrical concentration factor and is expressed as follows:

$$C = \frac{W - D_{r,e}}{\pi D_{r,e}} \quad (\text{eq.2})$$

Similarly, the energy balance for the glass envelope is given by:

$$\dot{q}_{r-g,conv} + \dot{q}_{f-r,conv} + \dot{q}_{g,SolAbs} = \dot{q}_{g-a,conv} + \dot{q}_{g-sky,rad} \quad (\text{eq.3})$$

The heat transfer coefficients corresponding to the losses by convection and radiation are evaluated using empirical correlations.

The governing equations are discretized in the fluid flow axial direction as shown in Fig.2, while considering the flow to be steady state and separated. In fact, the liquid and vapor phase are considered to flow separately at different velocities.

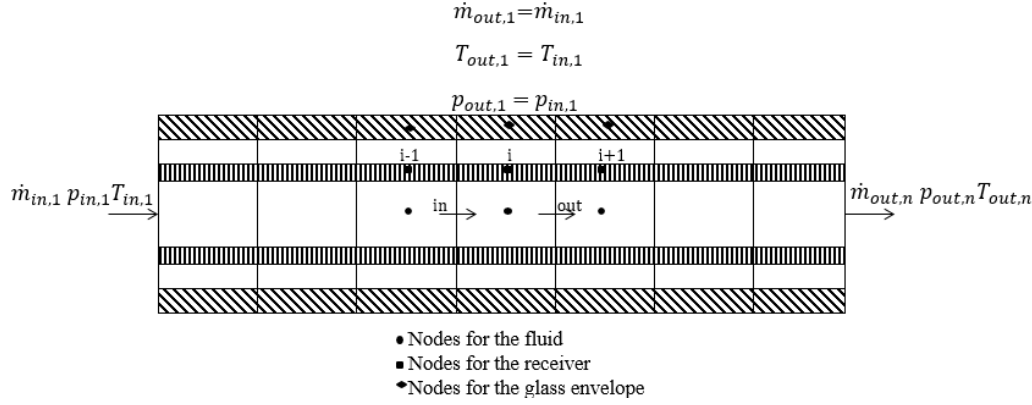


Fig. 2: Discretization scheme of the calculation domain

The discretized continuity equation results in the outlet mass flow rate

$$\dot{m}_{in} = \dot{m}_{out} = \dot{m} \quad (\text{eq.4})$$

The discretized momentum equation is solved for the outlet pressure

$$p_{out} = p_{in} - \frac{\Delta z}{A_f} \left( \left( \Phi \bar{f} \pi D_{r,i} \frac{\dot{m}^2}{8A_f^2 \rho_{tp}} + \frac{[\dot{m}(xv_g + (1-x)v_l)]_{in}^{out}}{\Delta z} \right) \right) \quad (\text{eq.5})$$

The two-phase density is expressed as:  $\rho_{tp} = \rho_g \varepsilon_g + (1 - \varepsilon_g) \rho_l$

The liquid and vapor velocities are given by:  $v_{g,out} = \left[ \frac{\dot{m}x}{\rho_v \varepsilon_g A_f} \right]_{out}$ ;  $v_{l,out} = \left[ \frac{\dot{m}(1-x)}{\rho_l (1-\varepsilon_g) A_f} \right]_{out}$

The discretized energy equation is solved for the outlet enthalpy

$$H_{out} = \frac{2\dot{q}_{wall} \pi D_{rint} \Delta x - \dot{m}_{out} a1 + \dot{m}_{in} a2}{\dot{m}_{out} + \dot{m}_{in}} \quad (\text{eq.6})$$

$$a1 = (xv_g + (1-x)v_l)_{out}^2 - H_{in}$$

$$a2 = (xv_g + (1-x)v_l)_{in}^2 + H_{in}$$

$$\text{Where: } \dot{q}_{wall} = h(T_r - T_f)$$

The thermodynamic properties of the fluid are introduced in the form of equations, and the fluid temperatures as well as equilibrium quality are calculated using the NIST database for water, as a function of pressure and enthalpy.

The equilibrium quality is given by (Kandlikar, 1991):

$$x = \frac{H - H_l}{H_g - H_l} \quad (\text{eq.7})$$

### 2.1 Single phase flow empirical correlations

The heat transfer coefficient in the single phase flow is evaluated using the correlation of Gnielinski and Petukhov (Gnielinski, 1975; Kandlikar, 1991; Petukhov and Popov, 1963). The choice of the correlation is based on the flow regime (laminar or turbulent). Besides Churchill correlation is adopted for the calculation of the friction factor (Churchill, 1977).

### 2.2 Two-phase flow correlations

Kandlikar (Kandlikar, 1990) correlation is used to evaluate the two phase heat transfer coefficient. The method presents two expressions taking into consideration convective and nucleate boiling, and the heat transfer coefficient is calculated according to the dominant heat transfer process.

The void fraction is calculated using Zivi (Zivi, 1964) correlation, for separated flow models, the friction factor is evaluated using the same correlation as in the single phase region, and the two phase multiplier  $\Phi$  which is evaluated by means of the Friedel (Friedel, 1979) .

## 3. Numerical algorithm

The model relies on dividing the absorber tube into a finite number of elements and evaluating the fluid outlet temperature, pressure, enthalpy, quality, heat loss, thermal efficiency, heat gain and the useful energy. The solution process is programmed in c++ language. Initially, the geometrical and optical parameters of the PTC, the meteorological data, the fluid inlet conditions (temperature, pressure, mass flow etc), are introduced as input data to the algorithm in one hand, and the temperature and pressure distribution for the components of the HCE are initialized in the other hand.

As a following step, the thermodynamic and transport properties of the HTF and the annulus gas are computed to evaluate heat transfer coefficients and the heat fluxes defined in the previous section (section 2).

For each element, the governing equations and the energy balance equations are solved, and the outlet variables values are set equal to the inlet variables of the next element.

## 4. Validation results

The validation of the developed numerical model was validated for single-phase flow using Syltherm oil, water and superheated steam, and for two-phase flow predicting the DSG inside the receiver tubes.

Firstly, the model was validated for the case of PTC with Syltherm oil and hot water using experimental results carried out at Sandia National Laboratories (SNL) (Dudley et al., 1994) for a SEGS LS2 collector.

The numerical and experimental results, of Syltherm oil as HTF, are presented in Fig.3. The thermal efficiency and the heat losses from the HCE are illustrated as a function of the temperature above ambient defined as:  $\Delta T - T_a$ , where  $\Delta T = T_o - T_i$ .

The validation was carried out for two types of receiver coatings (cermet and black chrome), and for vacuum and air in the gap between the receiver and the glass envelope.

The fluid outlet temperature and thermal efficiency in case of water as HTF are presented in Tab.1. The numerical results show good agreement with the experimental measurements. The mean relative errors obtained for the thermal efficiency are 1.5% in the case of vacuum in the annular space and 1.35% in the case of air. As for the heat losses, the mean relative errors obtained are 13.8% and 3.2% for vacuum and air in the annular space respectively.

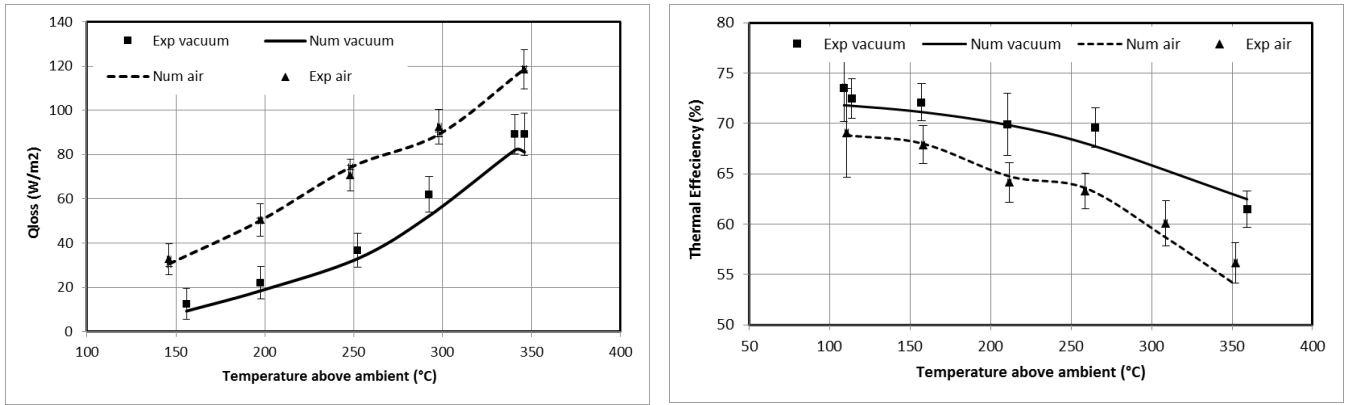


Fig. 3: Numerical (Num) and experimental (Exp) results of thermal efficiency and heat losses using synthetic oil

Tab. 1: Validation table for hot water (Dudley et al., 1994)

DNI (W m <sup>-2</sup> )	Pressure (MPa)	Ambient T (K)	Wind velocity (m s <sup>-1</sup> )	Mass flow (kg s <sup>-1</sup> )	$T_i$ (K)	$\eta_{th}$	$\eta_{th,exp}$	$\eta_{th}Err$ %	$T_o$ (K)	$T_{o,exp}$ (K)	$T_o$ Err %
807.5	0.1	288.95	1	0.306	291.45	0.68	0.73	6.48	308.65	309.25	0.19

The superheated steam numerical results were validated against experimental results carried out in DISS test facility for an LS3 collector with a 4.06 m tube length (Serrano-Aguilera et al., 2014).

Tab.2: Validation table for superheated steam (Serrano-Aguilera et al., 2014)

DNI (W m <sup>-2</sup> )	Pressure (MPa)	Ambient T (K)	Wind velocity (m s <sup>-1</sup> )	Mass flow (kg s <sup>-1</sup> )	$T_i$ (K)	$\eta_{th}$	$\eta_{th,exp}$	$\eta_{th}Err$ %	$T_o$ (K)	$T_{o,exp}$ (K)	$T_o$ Err %
921	6.188	303.3	0.5	0.64	560	0.58	0.64	10.11	564.17	565.00	0.15
804	6.125	307.2	0.5	0.53	551.6	0.57	0.65	11.61	555.83	556.50	0.12
771	3.237	309.6	0.5	0.52	532.8	0.58	0.62	6.81	538.61	539.90	0.24
790	3.223	307.9	0.5	0.5	527.8	0.58	0.64	9.53	533.95	535.10	0.22
853	10.085	307	0.5	0.58	616.5	0.56	0.52	7.38	619.92	620.40	0.08
823	6.113	305.6	0.5	0.53	585.5	0.57	0.62	8.67	590.39	592.10	0.29
910	5.854	299	0.5	0.64	576	0.57	0.58	1.46	580.52	581.40	0.15
804	3.161	301.8	0.5	0.53	551.7	0.57	0.6	4.31	557.88	559.20	0.24

At the outlet of the PTC tube, the calculated temperature and thermal efficiency ( $\eta_{th}$ ) are evaluated and compared to the DISS experimental data. A mean relative error of 7.5 % is obtained for the thermal efficiency, and 0.18 % for the outlet temperature.

Finally, the DSG numerical model, predicting the phase change and the heat transfer in the PTC, was validated against experimental measurements from DISS facility (Lobón et al., 2014a), and the outlet pressure and temperature are displayed as a function of the receiver length in Fig 4, for the inlet conditions reported in Tab 3.

Tab. 3 Inlet conditions for a DISS test case (Lobón et al., 2014a)

$P_{in}$ (MPa)	$T_{in}$ (°C)	$\dot{m}_{in}$ (kg/s)	DNI (W/m <sup>2</sup> )
3.38	196	0.47	807

As can be seen in Fig.4, the obtained numerical results are in good agreement with the experimental ones, and the mean relative errors for the outlet pressure and temperature are 0.55 % and 5.42 % respectively. The highest discrepancies are noticed in the superheating section, where the model tends to over predict the outlet temperature. These discrepancies may due the heat transfer coefficients correlations used in the superheating section.

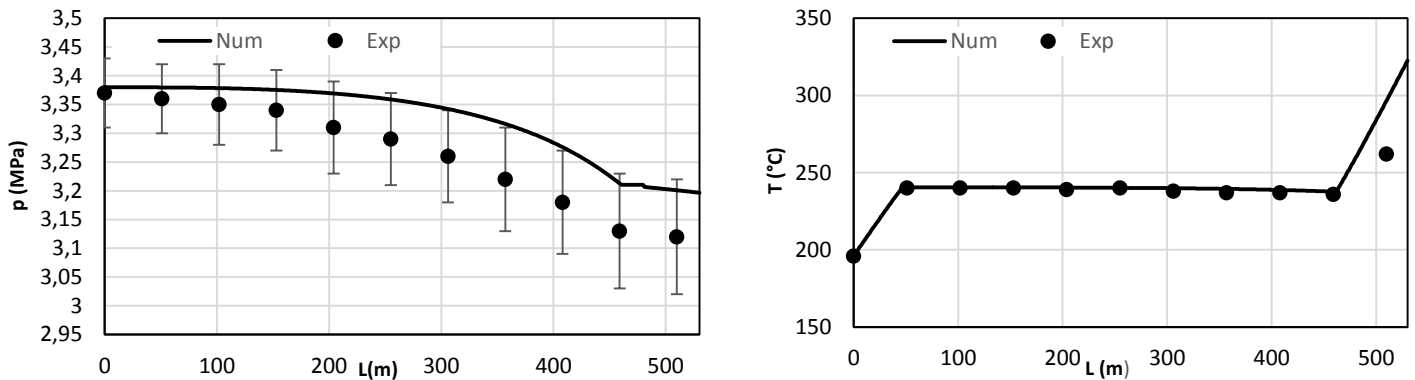


Fig. 4: Numerical vs experimental results of the DSG model, for the outlet pressure (left) and temperature (right)

The DSG model developed is general and includes all three phases, as well as the dryout regime, which can occur at the end of the saturated two-phase region. The model will be applied on the PTC of REELCOOP installation to evaluate its performance. Only saturated steam is generated in the installation, and so the liquid, and saturated phases only will be needed for the simulations. Besides, the REELCOOP prototype relies on recirculation mode to prevent any dryout that may occur in the receiver tube. Thus, the dryout part will be excluded as well.

## 5. Performance analysis with Hot water

The developed model for single phase flow is used to simulate a PTC generating hot water. Two typical days in summer and winter were chosen for the simulations. The heat gain by the HTF is evaluated in both cases and illustrated in Fig.5 along with the DNI, ambient temperature and wind speed.

We conclude from the graphs that the PTC can be operational for an average of 10 hours during the summer with a maximum heat gain of 626 W/m<sup>2</sup>, and 7 hours during winter, with 318 W/m<sup>2</sup> as maximum heat gain.

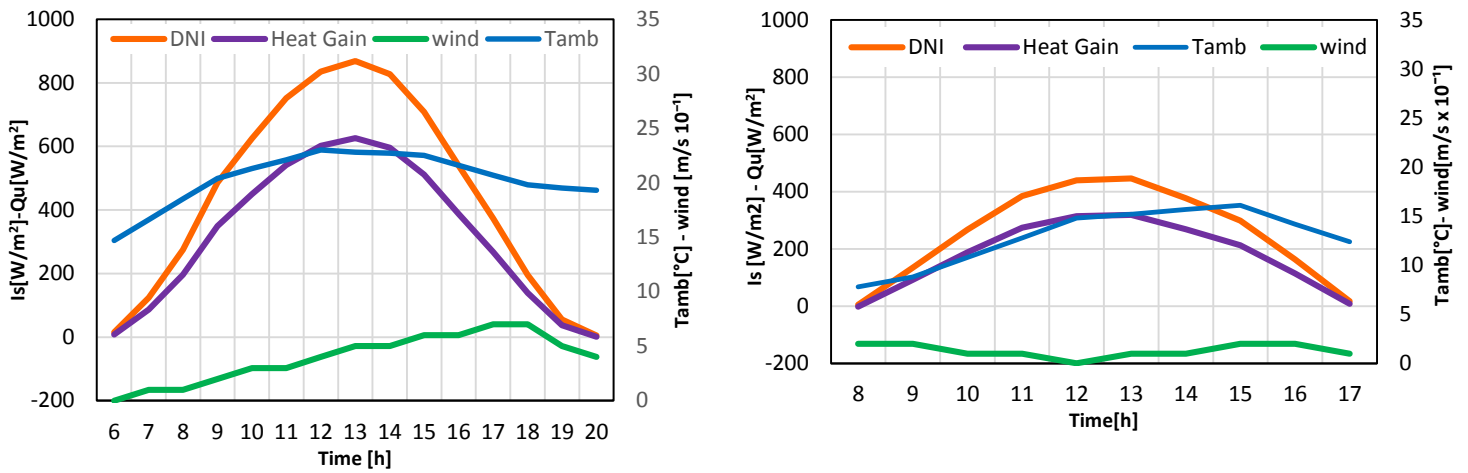


Fig.5: Hourly useful heat per unit of aperture area of the PTC in June (left), and December (right)

## 6. Commissioning and testing of REELCOOP facility

The prototype implemented in Tunisia relies on parabolic trough collectors and an organic Rankine cycle (ORC) enhanced by a biogas boiler. It is currently under installation at the École Nationale d'Ingénieurs de Tunis (ENIT) in Tunisia. This installation will then be used for demonstration, and for training students on the involved renewable technologies.



Fig.6: Solar field of REELCOOP plant

### 6.1 REELCOOP mini power plant technical description

The plant uses a completely innovative approach which is the hybridization of renewable resources: solar and biomass. It consists of three parallel rows of parabolic troughs with a net collecting surface of 1000 m<sup>2</sup> (Fig.6) operating in direct steam generation (DSG) concept, and generating saturated steam at 175 °C/8.9 bar. The Organic Rankine Cycle (ORC) turbine with a newly developed generator design generates up to 65 kW electrical from low temperature steam produced by the solar field, with a nominal gross efficiency reaching 14%. Biomass anaerobic digestion and phase change storage are demonstrated as well (Krüger et al., 2017). Fig.7 illustrates the P&ID of the DSG closed loop in REELCOOP plant.

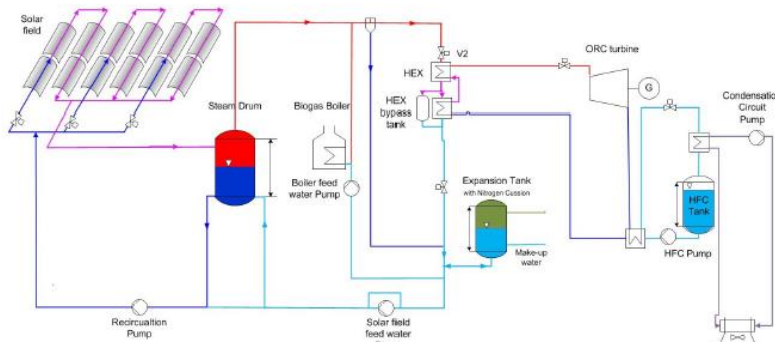


Fig. 7: P&ID of REELCOOP power plant (Willwerth et al., 17)

The solar collectors are oriented in a North-South direction and they track the sun throughout the day from East to West. The curved shapes send most of the heat collected from the sun to a receiver placed on the focal line and generate the steam directly. Subcooled water at a temperature of 148 °C is pumped to the solar field. In the first collector of each loop the whole water flow is heated up by the radiation of the sun. The water is partially evaporated in the remaining segment of the collector loop. Downstream the evaporation section, a steam drum is placed to separate steam from water. The steam is sent off to the turbine to spin a generator, after it is condensed and sub-cooled in the ORC heat exchangers to 80 °C and finally pumped back by the feed water pump.

Before leaving the steam drum, the water coming from the solar field at 175 °C mixes with subcooled water at 80 °C and is then recirculated back to the solar field by a recirculation pump.

## 6.2. Preliminary test results

The commissioning and testing of the plant took place at ENIT for solar only mode, started the 21<sup>st</sup> of August, 2017 and lasted five days. The solar field was firstly tested with low temperatures, and then was operated in part load for steam generation. The PTCs produced steam at approximately 177 °C/8.3 bars. All the data of the solar field and the whole plant are sent to a control and data acquisition system for a good monitoring of the facility.

One of the main purposes of the tests was to detect the steam generation in the solar field. Two view-glass were implemented in the installation including one between the solar field and the steam drum. Different flow regimes are depicted in Fig.8.

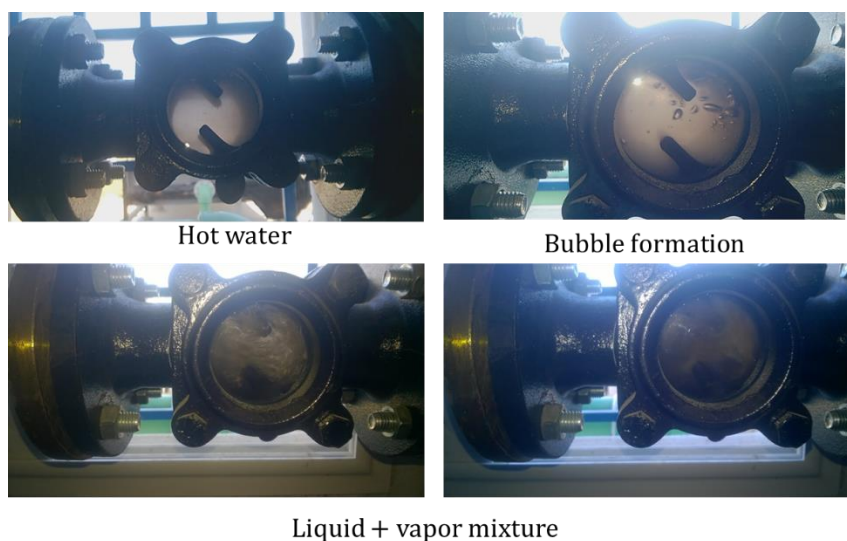


Fig 8: flow regimes at the outlet of the solar field



Three sets of results for three different days were obtained (e.g.: August 21, 22 and 23, 2017). The data set includes the inlet and outlet temperature pressure and mass flow at the plant's different components. In this paper, we will present the solar field results obtained.

The solar only mode test results on August 21, 2017 are presented in Fig.9. On the right part of the figure, the inlet and outlet temperature and pressure are displayed, and on the left part, the acquisition system with the corresponding sensors implemented in the solar field.

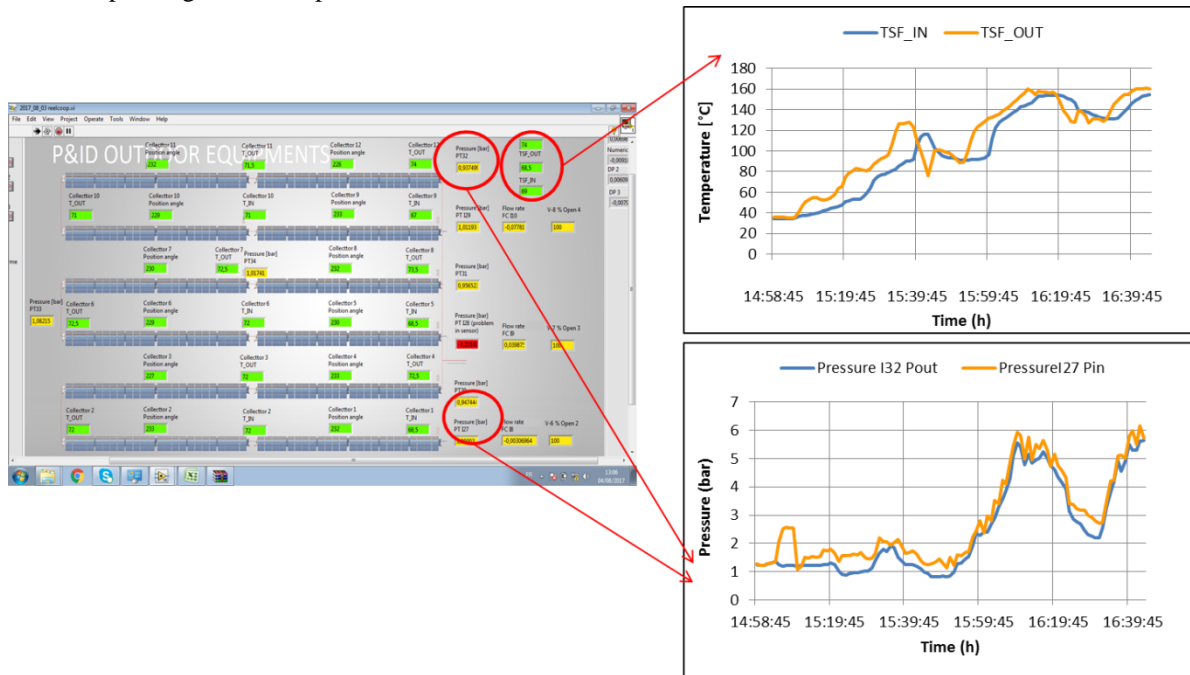


Fig. 9: August 21<sup>st</sup>, 2017 solar field test results

The data shown was registered between 11 am and 5 pm (Fig.10) on the second day of the tests. The chart of DNI shows the DNI on the collector. When the collector is defocused, the DNI graph falls to zero. As noticed, both temperature (TSF\_OUT) and pressure (Pout) keep increasing with time as soon as the collector is focused. The sudden decrease in temperature and pressure is explained by a defocus of the collectors. Since it was the first time operating the plant, the collectors were defocused several times during the tests in order to control the overheating, and also in order to follow the behavior of the phase change closely. As can be noted from the graph, once the solar field is focused, the temperature of the fluid rises quickly to reach 177 °C at around 4:20 PM.

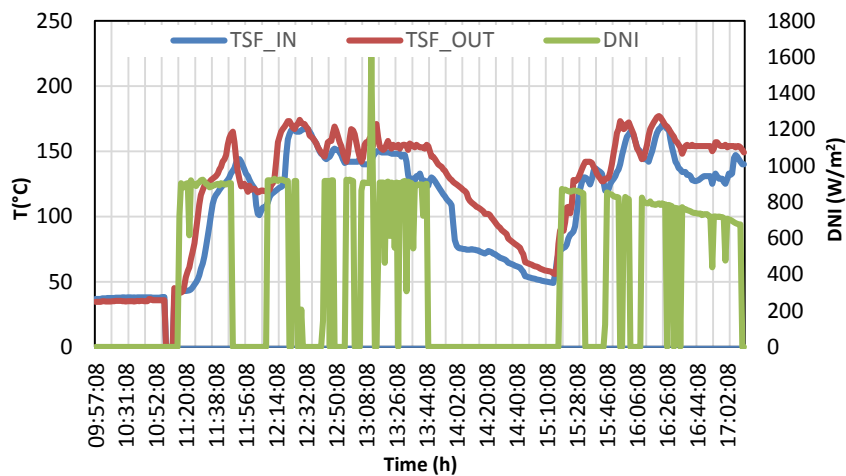


Fig 10 August 22<sup>nd</sup>, 2017 solar field test results

## 7. Conclusion

The current work presents a DSG model to predict the phase change and the heat transfer in a PTC. The model is based on solving the governing equations for the fluid flow and the energy balance for the tube walls. The validation was carried out for single phase flow using Syltherm oil, water and superheated steam as a first step. The model was then validated for single phase (water and steam) and two-phase fluid against DISS test facility measurements. The numerical results proved that the model is capable of predicting the experimental performance with good accuracy. As a second part of the work, the preliminary tests of a mini power plant PTC installed at ENIT, Tunisia were presented. Overall, three test day results were obtained. Even though the plant was operated in part load, the steam was successfully generated and the temperature at the solar field outlet reached 177 °C and higher. The developed numerical model will be used to simulate the REELCOOP PTC, while considering only the liquid and saturated phases. Performance analysis will be carried out under Tunisian climatic conditions, in order to prove the feasibility of implementing PTC relying on DSG in Tunisia, and in the Mediterranean region.

## 8. Acknowledgment

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## 10. Units and Symbols

Quantity	Symbol	Unit	Subscript	Symbol
Cross section area	A	m <sup>2</sup>	Ambient	a
Concentration factor	C	-	Convection	conv
Diameter	D	m	Experimental	exp
Density	$\rho$	kg m <sup>-3</sup>	Fluid	f
Enthalpy	H	J kg <sup>-1</sup>	Glass envelope/vapor	g
Equilibrium quality	x	-	Inlet	in
Efficiency		$\eta$	Inner	i
Friction factor	f	-	Outer	e
Heat flux	$\dot{q}$	W m <sup>-2</sup>	Liquid	l
Mass flow	$\dot{m}$	kg s <sup>-1</sup>	Outlet	out
Pressure	p	MPa	Receiver	r
Temperature	K	T	Radiation	rad
velocity	v	m s <sup>-1</sup>	Absorbed solar radiation	SolAbs
Void fraction	$\varepsilon$	-	Thermal	th
Width	W	m		